

4. a) Protocol to Eliminate Illicit Trade in Tobacco Products

Seoul, 12 November 2012

ENTRY INTO FORCE: 25 September 2018, in accordance with article 45 which reads as follows: 1. This Protocol shall enter into force on the ninetieth day following the date of deposit of the fortieth instrument of ratification, acceptance, approval, formal confirmation or accession with the Depositary. 2. For each Party to the WHO Framework Convention on Tobacco Control that ratifies, accepts, approves or formally confirms this Protocol or accedes thereto after the conditions set out in paragraph 1 for entry into force have been fulfilled, this Protocol shall enter into force on the ninetieth day following the date of deposit of its instrument of ratification, acceptance, approval, accession or formal confirmation. 3. For the purposes of this Article, any instrument deposited by a regional economic integration organization shall not be counted as additional to those deposited by States Members of that organization.

REGISTRATION: 1 October 2018, No. 55487.

STATUS: Signatories: 54. Parties: 68.

TEXT: United Nations, *Treaty Series*, vol. 3276. The above Protocol, which was adopted during the fifth session of the Conference of the Parties to the WHO Framework Convention on Tobacco Control by Decision [FCTC/COP5 \(1\)](#) of 12 November 2012, will be open for signature at a signing ceremony to be held in Geneva, Switzerland, from 10 to 11 January 2013 and, thereafter, at United Nations Headquarters in New York. In accordance with its article 43, the Protocol shall be open for signature by all Parties to the WHO Framework Convention on Tobacco Control at World Health Organization Headquarters in Geneva from 10 to 11 January 2013, and thereafter at United Nations Headquarters in New York until 9 January 2014.

<i>Participant</i>	<i>Signature</i>	<i>Approval(AA), Acceptance(A), Accession(a), Ratification, Formal confirmation(c)</i>	<i>Participant</i>	<i>Signature</i>	<i>Approval(AA), Acceptance(A), Accession(a), Ratification, Formal confirmation(c)</i>
Austria	9 Jan 2014	28 Oct 2014	Ecuador	25 Sep 2013	15 Oct 2015
Belgium	17 May 2013	22 Feb 2019	Egypt.....		10 Sep 2020 a
Benin.....	24 Sep 2013	6 Jul 2018	Eswatini		21 Sep 2016 a
Botswana	1 Oct 2013		European Union.....	20 Dec 2013	24 Jun 2016 c
Brazil		14 Jun 2018 a	Fiji	11 Jul 2013	24 Apr 2019
Burkina Faso.....	8 Mar 2013	30 Mar 2016	Finland.....	25 Sep 2013	
Cabo Verde.....		16 Oct 2019 a	France	10 Jan 2013	30 Nov 2015
Chad.....		13 Jun 2018 a	Gabon.....	10 Jan 2013	1 Oct 2014 A
China.....	10 Jan 2013		Gambia.....		26 Sep 2016 a
Colombia	21 Feb 2013		Germany	1 Oct 2013	31 Oct 2017
Comoros.....		14 Oct 2016 a	Ghana.....	24 Sep 2013	22 Oct 2021
Congo.....		14 May 2015 a	Greece.....	9 Jul 2013	24 May 2021
Costa Rica.....	21 Mar 2013	7 Mar 2017	Guinea.....		9 May 2017 a
Côte d'Ivoire	24 Sep 2013	25 May 2016	Guinea-Bissau.....	24 Sep 2013	
Croatia		10 Jun 2019 a	Hungary		23 Jun 2020 a
Cyprus.....	23 Oct 2013	29 Aug 2017	India		5 Jun 2018 a
Czech Republic.....		12 Jul 2019 a	Iran (Islamic Republic of).....	7 Jan 2014	27 Aug 2018
Democratic Republic of the Congo.....	9 Dec 2013		Iraq.....		2 Dec 2015 a
Denmark	7 Jan 2014		Ireland.....	20 Dec 2013	

<i>Participant</i>	<i>Signature</i>	<i>Approval(AA), Acceptance(A), Accession(a), Ratification, Formal confirmation(c)</i>	<i>Participant</i>	<i>Signature</i>	<i>Approval(AA), Acceptance(A), Accession(a), Ratification, Formal confirmation(c)</i>
Israel	23 Dec 2013		Republic of Korea.....	10 Jan 2013	
Kenya.....	29 May 2013	4 May 2020	Republic of Moldova.....		10 May 2022 a
Kuwait	11 Nov 2013	21 Feb 2019	Rwanda		19 May 2023 a
Latvia.....		4 Feb 2016 a	Samoa		29 Jun 2018 a
Libya.....	10 Jan 2013		Saudi Arabia		9 Oct 2015 a
Lithuania.....	6 Sep 2013	14 Dec 2016	Senegal.....		31 Aug 2016 a
Luxembourg.....		25 Jul 2019 a	Serbia.....		30 Jun 2017 a
Madagascar.....	25 Sep 2013	21 Sep 2017	Seychelles.....		7 Jan 2020 a
Mali.....	8 Jan 2014	17 Jun 2016	Slovakia		25 Sep 2017 a
Malta.....		2 Aug 2018 a	Slovenia	6 Jan 2014	
Mauritius.....		26 Jun 2018 a	South Africa.....	10 Jan 2013	
Mongolia.....	1 Nov 2013	8 Oct 2014	Spain		23 Dec 2014 a
Montenegro.....	1 Jul 2013	11 Oct 2017	Sri Lanka.....		8 Feb 2016 a
Myanmar.....	10 Jan 2013		Sudan	30 Sep 2013	
Netherlands (Kingdom of the) ¹	6 Jan 2014	3 Jul 2020 A	Sweden.....	6 Jan 2014	9 Jul 2019
Nicaragua.....	10 Jan 2013	20 Dec 2013	Syrian Arab Republic	10 Jan 2013	
Niger		12 Jul 2017 a	Togo.....	9 Jan 2014	31 Jan 2018
Nigeria		8 Mar 2019 a	Tunisia	11 Jan 2013	
North Macedonia	8 Jan 2014		Türkiye.....	10 Jan 2013	26 Apr 2018
Norway	16 Oct 2013	29 Jun 2018	Turkmenistan.....		30 Mar 2015 a
Pakistan.....		29 Jun 2018 a	United Kingdom of Great Britain and Northern Ireland.....	17 Dec 2013	27 Jun 2018
Panama.....	10 Jan 2013	23 Sep 2016	United Republic of Tanzania.....	24 Sep 2013	
Paraguay		27 Sep 2022 a	Uruguay	10 Jan 2013	24 Sep 2014
Poland		22 Sep 2023 a	Yemen.....	7 Jan 2014	
Portugal.....	8 Jan 2014	22 Jul 2015			
Qatar	18 Jun 2013	2 Jul 2018			

Declarations

(Unless otherwise indicated, the declarations were made upon ratification, acceptance, approval, formal confirmation or accession.)

COSTA RICA

In the case of the Republic of Costa Rica, for the purposes of the implementation of article 27 of the Protocol, the Ministries of the Treasury, Public Health, Economic Affairs, Trade and Industry, the Interior and Police, and Public Security shall be responsible for proposing any amendments to domestic laws, regulations and rules in general that might be required for compliance with this Protocol, within their competencies and powers, and without prejudice to the constitutional powers vested in the legislative and executive branches.

EUROPEAN UNION

The European Union (EU) submits, in accordance with Article 44 of the Protocol to Eliminate Illicit Trade in Tobacco Products to the World Health Organisation's

Framework Convention on Tobacco Control ("FCTC Protocol"), the following Declaration of Competences specifying the categories and policy areas in respect of which the Member States of the EU have conferred competences upon the EU in the areas covered by the FCTC Protocol.

1. General Principles

The categories and areas of Union competence are set out in Articles 2 to 6 TFEU. When the Treaties confer on the EU exclusive competence in a specific area, only the EU may legislate and adopt legally binding acts, the Member States being able to do so themselves only if so empowered by the EU or for the implementation of EU acts. When the Treaties confer on the EU a competence shared with the Member States in a specific area, the EU and the Member States may legislate and adopt legally binding acts in that area. The Member States shall

exercise their competence to the extent that the EU has not exercised its competence. The Member States shall again exercise their competence to the extent that the EU has decided to cease exercising its competence.

As regards the conclusion of international agreements, for the policy areas listed in Article 3(1) TFEU, only the EU has the competence to act. For the policy areas listed in Article 4(2) TFEU the EU and its Member States share competence, but only the EU has the competence to act when the envisaged action is necessary to enable the Union to exercise its internal competence, or insofar as the provisions in the agreement may affect common rules or alter their scope within the meaning of Article 3(2) TFEU; insofar as this is not the case (i.e. the conditions of Article 3(2) TFEU are not met), Member States may exercise their competence to act in these policy areas.

Competences not attributed to the EU by the Treaties fall within the competences of the Member States of the EU.

The EU will duly notify any substantial modification of the extent of its competences, in accordance with Article 44 of the Protocol, without this constituting a prerequisite for the exercise of its competence in matters covered by the FCTC Protocol.

2. Exclusive competence of the EU

2.1. The EU has exclusive competence to act with respect to the matters covered by the FCTC Protocol that fall under the scope of the common commercial policy of the EU (Article 207 TFEU).

2.2. In addition, the EU has exclusive competence to act with regard to matters covered by the FCTC protocol that fall under the scope of customs cooperation (Article 33 TFEU), approximation of laws in the internal market (Articles 113 and 114 TFEU), judicial cooperation in criminal matters (Article 82 TFEU) and definition of criminal offences (Article 83 TFEU), only insofar as the provisions of a Union act establish common rules that may be affected or altered in scope by provisions of the FCTC protocol.

The list of Union acts below illustrates the extent to which the Union has exercised its internal competence in these fields in accordance with the Treaty on the Functioning of the European Union. The extent of Union exclusive competence ensuing from these acts must be assessed by reference to the precise provisions of each measure, and in particular the extent to which these provisions establish common rules that risk to be affected

or altered in scope by the provisions of the FCTC Protocol or an act adopted in implementation thereof.

– Directive 2014/40/EU of the European Parliament and of the Council of 3 April 2014 on the approximation of the laws, regulations and administrative provisions of the Member States concerning the manufacture, presentation and sale of tobacco and related products and repealing Directive 2001/37/EC (OJ L 127, 29.4.2014, p. 1);

– Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing (OJ L 309, 25.11.2005, p. 15);

– Regulation (EU) No 952/2013 of the European Parliament and of the Council of 9 October 2013 laying down the Union Customs Code (OJ L 269, 10.10.2013, p. 1);

– Council Directive 2008/118/EC of 16 December 2008 concerning the general arrangements for excise duty and repealing Directive 92/12/EEC (OJ L 9, 14.1.2009, p. 12);

– Council Directive 2011/64/EU of 21 June 2011 on the structure and rates of excise duty applied to manufactured tobacco (OJ L 176, 5.7.2011, p. 24);

– Council Framework Decision 2001/500/JHA of 26 June 2001 on money laundering, the identification, tracing, freezing, seizing and confiscation of instrumentalities and the proceeds of crime (OJ L 182, 5.7.2001, p. 1);

– Council Act of 26 July 1995 drawing up the Convention on the protection of the European Communities' financial interests (OJ C 316, 27.11.1995, p. 48).

3. Competence of the Member States

For other matters covered by the FCTC Protocol not mentioned in sections 2.1 and 2.2, for which the EU has not exclusive competence to act, the Member States remain competent to act.

REPUBLIC OF MOLDOVA

Respecting the provisions of the article 25 from the mentioned Protocol, the Republic of Moldova declares that the stipulations of the Protocol are applicable on the entire territory of the Republic of Moldova, within the internationally recognized borders. The Republic of Moldova will cooperate with neighboring states and external partners to ensure the implementation of the mentioned Protocol.

Notes:

¹ For the European part of the Netherlands.

