

No. 14537

MULTILATERAL

Convention on international trade in endangered species of wild fauna and flora (with appendices and Final Act of 2 March 1973). Opened for signature at Washington on 3 March 1973

Authentic texts: English, French, Spanish, Chinese and Russian.

Registered by Switzerland on 13 January 1976.

MULTILATÉRAL

Convention sur le commerce international des espèces de faune et de flore sauvages menacées d'extinction (avec annexes et Acte final du 2 mars 1973). Ouverte à la signature à Washington le 3 mars 1973

Textes authentiques : anglais, français, espagnol, chinois et russe.

Enregistrée par la Suisse le 13 janvier 1976.

CONVENTION¹ ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

The Contracting States,

Recognizing that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;

Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;

¹ Came into force in respect of the following States on 1 July 1975, i.e., 90 days after the date of deposit of the tenth instrument of ratification, accession, acceptance or approval with the Government of Switzerland, in accordance with article XXII (1):

<i>State</i>	<i>Date of deposit of the instrument of ratification, accession (a), acceptance (A) or approval (AA)</i>	
United States of America	14 January	1974
Nigeria	9 May	1974
Switzerland	9 July	1974
Tunisia	10 July	1974
Sweden	20 August	1974
Cyprus	18 October	1974
United Arab Emirates	21 November	1974 <i>a</i>
Ecuador	11 February	1975
Chile	14 February	1975
Uruguay	2 April	1975

Subsequently, the Convention came into force for the following States 90 days after the date of deposit of their instrument of ratification, accession (*a*), acceptance (*A*), or approval (*AA*) with the Government of Switzerland, in accordance with article XXII (2):

<i>State</i>	<i>Date of deposit</i>	
Canada* (With effect from 9 July 1975.)	10 April	1975
Mauritius (With effect from 27 July 1975.)	28 April	1975
Nepal (With effect from 16 September 1975.)	18 June	1975 <i>a</i>
Peru (With effect from 25 September 1975.)	27 June	1975
Costa Rica (With effect from 28 September 1975.)	30 June	1975
South Africa (With effect from 13 October 1975.)	15 July	1975
Brazil (With effect from 4 November 1975.)	6 August	1975
Madagascar (With effect from 18 November 1975.)	20 August	1975
Niger (With effect from 7 December 1975.)	8 September	1975
German Democratic Republic (With effect from 7 January 1976.)	9 October	1975 <i>a</i>
Morocco (With effect from 14 January 1976.)	16 October	1975
Ghana (With effect from 12 February 1976.)	14 November	1975

* See p. 391 of this volume for the texts of the reservations made upon ratification.

Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;

Recognizing, in addition, that international cooperation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade;

Convinced of the urgency of taking appropriate measures to this end;

Have agreed as follows:

Article I. DEFINITIONS

For the purpose of the present Convention, unless the context otherwise requires:

(a) "Species" means any species, subspecies, or geographically separate populations thereof;

(b) "Specimen" means:

(i) any animal or plant, whether alive or dead;

(ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and

(iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;

(c) "Trade" means export, re-export, import and introduction from the sea;

(d) "Re-export" means export of any specimen that has previously been imported;

(e) "Introduction from the sea" means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;

(f) "Scientific Authority" means a national scientific authority designated in accordance with Article IX;

(g) "Management Authority" means a national management authority designated in accordance with Article IX;

(h) "Party" means a State for which the present Convention has entered into force.

Article II. FUNDAMENTAL PRINCIPLES

1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.

2. Appendix II shall include:

(a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and

(b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.

3. Appendix III shall include all species which any Party identifies as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the cooperation of other parties in the control of trade.

4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

Article III. REGULATION OF TRADE IN SPECIMENS
OF SPECIES INCLUDED IN APPENDIX I

1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
- (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;
- (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.

3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;
- (b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
- (c) a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.

4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;
- (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.

5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority

of the State of introduction. A certificate shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
- (b) a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
- (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

*Article IV. REGULATION OF TRADE IN SPECIMENS
OF SPECIES INCLUDED IN APPENDIX II*

1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
- (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
- (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.

4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.

5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and
- (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and
- (b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.

7. Certificates referred to in paragraph 6 of this Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

*Article V. REGULATION OF TRADE IN SPECIMENS
OF SPECIES INCLUDED IN APPENDIX III*

1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
- (b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.

4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

Article VI. PERMITS AND CERTIFICATES

1. Permits and certificates granted under the provisions of Articles III, IV, and V shall be in accordance with the provisions of this Article.

2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.

3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.

4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.

5. A separate permit or certificate shall be required for each consignment of specimens.

6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.

7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as difficult as possible.

Article VII. EXEMPTIONS AND OTHER SPECIAL PROVISIONS
RELATING TO TRADE

1. The provisions of Articles III, IV and V shall not apply to the transit or trans-shipment of specimens through or in the territory of a Party while the specimens remain in Customs control.

2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.

3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:

(a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or

(b) in the case of specimens of species included in Appendix II:

(i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;

(ii) they are being imported into the owner's State of usual residence; and

(iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens;

unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.

4. Specimens of an animal species included in Appendix I bred in captivity for commercial purposes, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.

5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Articles III, IV or V.

6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority.

7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of

specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:

- (a) the exporter or importer registers full details of such specimens with that Management Authority;
- (b) the specimens are in either of the categories specified in paragraphs 2 or 5 of this Article; and
- (c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.

Article VIII. MEASURES TO BE TAKEN BY THE PARTIES

1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:

- (a) to penalize trade in, or possession of, such specimens, or both; and
- (b) to provide for the confiscation or return to the State of export of such specimens.

2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.

3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.

4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:

- (a) the specimen shall be entrusted to a Management Authority of the State of confiscation;
- (b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and
- (c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.

5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated.

6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:

- (a) the names and addresses of exporters and importers; and
- (b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question.

7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:

- (a) an annual report containing a summary of the information specified in subparagraph (b) of paragraph 6 of this Article; and
- (b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.

8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

Article IX. MANAGEMENT AND SCIENTIFIC AUTHORITIES

1. Each Party shall designate for the purposes of the present Convention:

- (a) one or more Management Authorities competent to grant permits or certificates on behalf of that Party; and
- (b) one or more Scientific Authorities.

2. A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.

3. Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.

4. Any Management Authority referred to in paragraph 2 of this Article shall if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

Article X. TRADE WITH STATES NOT PARTY TO THE CONVENTION

Where export or re-export is to, or import is from, a State not a party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

Article XI. CONFERENCE OF THE PARTIES

1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.

2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise, and extraordinary meetings at any time on the written request of at least one-third of the Parties.

3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:

- (a) make such provision as may be necessary to enable the Secretariat to carry out its duties;
- (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
- (c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
- (d) receive and consider any reports presented by the Secretariat or by any Party; and

(e) where appropriate, make recommendations for improving the effectiveness of the present Convention.

4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.

5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.

6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.

7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object:

- (a) international agencies or bodies, either governmental or non-governmental, and national governmental agencies and bodies; and
- (b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located. Once admitted, these observers shall have the right to participate but not to vote.

Article XII. THE SECRETARIAT

1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-governmental or non-governmental international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.

2. The functions of the Secretariat shall be:

- (a) to arrange for and service meetings of the Parties;
- (b) to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;
- (c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparations and shipment of living specimens and the means of identifying specimens;
- (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;
- (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
- (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;
- (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;

- (h) to make recommendations for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature;
- (i) to perform any other function as may be entrusted to it by the Parties.

Article XIII. INTERNATIONAL MEASURES

1. When the Secretariat in the light of information received is satisfied that any species included in Appendices I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.

2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.

3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

Article XIV. EFFECT ON DOMESTIC LEGISLATION
AND INTERNATIONAL CONVENTIONS

1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:

- (a) stricter domestic measures regarding the conditions for trade, taking possession or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or
- (b) domestic measures restricting or prohibiting trade, taking possession, or transport of species not included in Appendices I, II or III.

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking possession, or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.

3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external customs control and removing customs control between the parties thereto insofar as they relate to trade among the States members of that union or agreement.

4. A State party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligations imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV)¹ of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

Article XV. AMENDMENTS TO APPENDICES I AND II

1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

(a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.

(b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

(c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:

(a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.

(b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring coordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.

(c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.

(d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraphs (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.

(e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.

¹ United Nations, *Official Records of the General Assembly, Twenty-fifth Session, Supplement No. 28 (A/8028)*, p. 25.

(f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

(g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.

(h) The Secretariat shall notify the Parties that notification of objection has been received.

(i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.

(j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.

(k) The Secretariat shall notify all Parties of the result of the vote.

(1) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (l) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a party to the present Convention with respect to trade in the species concerned.

Article XVI. APPENDIX III AND AMENDMENTS THERETO

1. Any party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.

2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.

3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.

4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations ap-

pliable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in question is included in Appendix III, submit any amendments of such laws and regulations or any new interpretations as they are adopted.

Article XVII. AMENDMENT OF THE CONVENTION

1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.

3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

Article XVIII. RESOLUTION OF DISPUTES

1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.

2. If the dispute cannot be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at The Hague, and the Parties submitting the dispute shall be bound by the arbitral decision.

Article XIX. SIGNATURE

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

Article XX. RATIFICATION, ACCEPTANCE, APPROVAL

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.

Article XXI. ACCESSION

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

Article XXII. ENTRY INTO FORCE

1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.

2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

Article XXIII. RESERVATIONS

1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.

2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:

- (a) any species included in Appendix I, II or III; or
- (b) any parts or derivatives specified in relation to a species included in Appendix III.

3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.

Article XXIV. DENUNCIATION

Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.

Article XXV. DEPOSITARY

1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.

2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.

3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

IN WITNESS WHEREOF the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

DONE at Washington this third day of March, one thousand nine hundred and seventy-three.

APPENDIX I

Interpretation

1. Species included in this Appendix are referred to:

- (a) by the name of the species; or
- (b) as being all of the species included in a higher taxon or designated part thereof.

2. The abbreviation "spp." is used to denote all species of a higher taxon.

3. Other references to taxa higher than species are for the purposes of information or classification only.

4. An asterisk (*) placed against the name of a species or higher taxon indicates that one or more geographically separate populations, sub-species or species of that taxon are included in Appendix II and that these populations, sub-species or species are excluded from Appendix I.

5. The symbol (–) followed by a number placed against the name of a species or higher taxon indicates the exclusion from that species or taxon of designated geographically separate populations, sub-species or species as follows:

- 101 *Lemur catta*
- 102 Australia population.

6. The symbol (+) followed by a number placed against the name of a species denotes that only a designated geographically separate population or sub-species of that species is included in this Appendix, as follows:

- + 201 Italian population only

7. The symbol (±) placed against the name of a species or higher taxon indicates that the species concerned are protected in accordance with the International Whaling Commission's schedule of 1972.

FAUNA

MAMMALIA

Marsupialia

Macropodidae

- Macropus parma*
- Onychogalea frenata*
- O. lunata*
- Lagorchestes hirsutus*
- Lagostrophus fasciatus*
- Caloprymnus campestris*
- Bettongia penicillata*
- B. lesueur*
- B. tropica*

Phalangeridae

- Wyulda squamicaudata*

Burramyidae

- Burramys parvus*

Vombatidae

- Lasiorhinus gillespiei*

Peramelidae

- Perameles bougainville*
- Chaeropus ecaudatus*
- Macrotis lagotis*
- M. leucura*

Dasyuridae

- Planigale tenuirostris*
- P. subtilissima*
- Sminthopsis psammophila*
- S. longicaudata*
- Antechinomys laniger*
- Myrmecobius fasciatus rufus*

Thylacinidae

- Thylacinus cynocephalus*

Primates

Lemuridae

- Lemur* spp. * – 101
- Lepilemur* spp.

Hapalemur spp.

Allocebus spp.

Cheirogaleus spp.

Microcebus spp.

Phaner spp.

Indriidae

Indri spp.

Propithecus spp.

Avahi spp.

Daubentoniidae

Daubentonia madagascariensis

Callithricidae

Leontopithecus (Leontideus) spp.

Callimico goeldii

Cebidae

Saimiri oerstedii

Chiropotes albinasus

Cacajao spp.

Alouatta palliata (villosa)

Ateles geoffroyi frontatus

A. g. panamensis

Brachyteles arachnoides

Cercopithecidae

Cercocebus galeritus galeritus

Macaca silenus

Colobus badius rufomitratu

C. b. kirkii

Presbytis geei

P. pileatus

P. entellus

Nasalis larvatus

Simias concolor

Pygathrix nemæus

Hylobatidae

Hylobates spp.

Symphalangus syndactylus

- Pongidae
Pongo pygmaeus pygmaeus
P. p. abelii
Gorilla gorilla
- Edentata
 Dasypodidae
Priodontes giganteus (= maximus)
- Pholidota
 Manidae
Manis temmincki
- Lagomorpha
 Leporidae
Romerolagus diazi
Caprolagus hispidus
- Rodentia
 Sciuridae
Cynomys mexicanus
- Castoridae
Castor fiber birulaia
Castor canadensis mexicanus
- Muridae
Zyromys pedunculatus
Leporillus conditor
Pseudomys novaehollandiae
P. praeconis
P. shortridgei
P. fumeus
P. occidentalis
P. fieldi
Notomys aquilo
Xeromys myoides
- Chinchillidae
Chinchilla brevicaudata boliviana
- Cetacea
 Platanistidae
Platanista gangetica
- Eschrichtidae
Eschrichtius robustus (glaucus)
- Balaenopteridae
Balaenoptera musculus
Megaptera novaeangliae
- Balaenidae
Balaena mysticetus
Eubalaena spp.
- Carnivora
 Canidae
Canis lupus monstrabilis
Vulpes velox hebes
- Viverridae
Prionodon pardicolor
- Ursidae
Ursus americanus emmonsii
U. arctos pruinosus
U. arctos * + 201
U. a. nelsoni
- Mustelidae
Mustela nigripes
Lutra longicaudis (platensis/annectens)
L. felina
L. provocax
Pteronura brasiliensis
Aonyx microdon
Enhydra lutris nereis
- Hyaenidae
Hyaena brunnea
- Felidae
Felis planiceps
F. nigripes
F. concolor coryi
F. c. costaricensis
F. c. cougar
F. temmincki
Felis bengalensis bengalensis
F. yagouaroundi cacomitli
F. y. fossata
F. y. panamensis
F. y. tolteca
F. pardalis mearnsi
F. p. mitis
F. wiedii nicaraguae
F. w. salvinia
F. tigrina oncilla
F. marmorata
F. jacobita
F. (Lynx) rufa escuinapae
Neofelis nebulosa
*Panthera tigris**
P. pardus
P. uncia
P. onca
Acinonyx jubatus
- Pinnipedia
 Phocidae
Monachus spp.
Mirounga angustirostris
- Proboscidea
 Elephantidae
Elephas maximus

Sirenia

Dugongidae

Dugong dugon * — 102

Trichechidae

*Trichechus manatus**T. inunguis**Perissodactyla*

Equidae

*Equus przewalskii**E. hemionus hemionus**E. h. khur**E. zebra zebra*

Tapiridae

*Tapirus pinchaque**T. bairdii**T. indicus*

Rhinocerotidae

*Rhinoceros unicornis**R. sondaicus**Didermoceros sumatrensis**Ceratotherium simum cottoni**Artiodactyla*

Suidae

*Sus salvanius**Babirusa babirusa*

Camelidae

*Vicugna vicugna**Camelus bactrianus*

Cervidae

*Moschus moschiferus moschiferus**Axis (Hyelaphus) porcinus annamiticus**A. (Hyelaphus) calamianensis**A. (Hyelaphus) kuhli**Cervus duvauceli**C. eldi**C. elaphus hanglu**Hippocamelus bisulcus**H. antisensis**Blastocerus dichotomus**Ozotoceros bezoarticus**Pudu pudu*

Antilocapridae

*Antilocapra americana sonoriensis**A. a. peninsularis*

Bovidae

*Bubalus (Anoa) mindorensis**B. (Anoa) depressicornis**B. (Anoa) quarlesi**Bos gaurus**B. (grunniens) mutus**Novibos (Bos) sauveli**Bison bison athabascae**Kobus leche**Hippotragus niger variani**Oryx leucoryx**Damaliscus dorcas dorcas**Saiga tatarica mongolica**Nemorhaedus goral**Capricornis sumatraensis**Rupicapra rupicapra ornata**Capra falconeri jerdoni**C. f. megaceros**C. f. chiltanensis**Ovis orientalis ophion**O. ammon hodgsoni**O. vignei*

AVES

Tinamiformes

Tinamidae

*Tinamus solitarius**Podicipediformes*

Podicipedidae

*Podilymbus gigas**Procellariiformes*

Diomedidae

*Diomedea albatrus**Pelecaniformes*

Sulidae

Sula abbotti

Fregatidae

*Fregata andrewsi**Ciconiiformes*

Ciconiidae

Ciconia ciconia boyciana

Threskiornithidae

*Nipponia nippon**Anseriformes*

Anatidae

*Anas aucklandica nesiotis**Anas oustaleti**Anas laysanensis**Anas diazi**Cairina scutulata**Rhodonessa caryophyllacea**Branta canadensis leucopareia**Branta sandvicensis**Falconiformes*

Cathartidae

*Vultur gryphus**Gymnogyps californianus*

- Accipitridae
Pithecophaga jefferyi
Harpia harpyja
Haliaeetus l. leucocephalus
Haliaeetus heliaca adalberti
Haliaeetus albicilla groenlandicus
- Falconidae
Falco peregrinus anatum
Falco peregrinus tundrius
Falco peregrinus peregrinus
Falco peregrinus babyloicus
- Galliformes
- Megapodiidae
Macrocephalon maleo
- Cracidae
Crax blumenbachii
Pipile p. pipile
Pipile jacutinga
Mitu mitu mitu
Oreophasis derbianus
- Tetraonidae
Tympanuchus cupido attwateri
- Phasianidae
Colinus virginianus ridgwayi
Tragopan blythii
Tragopan caboti
Tragopan melanocephalus
Lophophorus sclateri
Lophophorus lhuysii
Lophophorus impejanus
Crossoptilon mantchuricum
Crossoptilon crossoptilon
Lophura swinhoii
Lophura imperialis
Lophura edwardsi
Syrmaticus ellioti
Syrmaticus humiae
Syrmaticus mikado
Polyplectron emphanum
Tetraogallus tibetanus
Tetraogallus caspius
Cyrtonyx montezumae merriami
- Gruiformes
- Gruidae
Grus japonensis
Grus leucogeranus
Grus americana
Grus canadensis pulla
Grus canadensis nesiotis
Grus nigricollis
Grus vipio
Grus monacha
- Rallidae
Tricholimnas sylvestris
- Rhynchotidae
Rhynchotos jubatus
- Otididae
Eupodotis bengalensis
- Charadriiformes
- Scolopacidae
Numenius borealis
Tringa guttifer
- Laridae
Larus relictus
- Columbiformes
- Columbidae
Ducula mindorensis
- Psittaciformes
- Psittacidae
Strigops habroptilus
Rhynchopsitta pachyrhyncha
Amazona leucocephala
Amazona vittata
Amazona guildingii
Amazona versicolor
Amazona imperialis
Amazona rhodocorytha
Amazona pretrei pretrei
Amazona vinacea
Pyrrhura cruentata
Anodorhynchus glaucus
Anodorhynchus leari
Cyanopsitta spixii
Pionopsitta pileata
Aratinga guaruba
Psittacula krameri echo
Psephotus pulcherrimus
Psephotus chrysopterygius
Neophema chrysogaster
Neophema splendida
Cyanoramphus novaezelandiae
Cyanoramphus auriceps forbesi
Geopsittacus occidentalis
Psittacus erithacus princeps
- Apodiformes
- Trochilidae
Ramphodon dohrnii
- Trogoniformes
- Trogonidae
Pharomachrus mocinno mocinno
Pharomachrus mocinno costaricensis

Strigiformes

Strigidae

Otus gurneyi

Coraciiformes

Bucerotidae

Rhinoplax vigil

Piciformes

Picidae

*Dryocopus javensis richardsi**Campephilus imperialis*

Passeriformes

Cotingidae

*Cotinga maculata**Xipholena atro-purpurea*

Pittidae

Pitta kochi

Atrichornithidae

Atrichornis clamosa

Muscicapidae

*Picathartes gymnocephalus**Picathartes oreas**Psophodes nigrogularis**Amytornis goyderi**Dasyornis brachypterus longirostris**Dasyornis broadbenti littoralis*

Sturnidae

Leucopsar rothschildi

Meliphagidae

Meliphaga cassidix

Zosteropidae

Zosterops albogularis

Fringillidae

Spinus cucullatus

AMPHIBIA

Urodela

Cryptobranchidae

Andrias (= *Megalobatrachus*) *japonic**Andrias* (= *Megalobatrachus*) *davidianus*

Salientia

Bufonidae

*Bufo superciliaris**Bufo periglenes**Nectophrynoides* spp.

Atelopodidae

Atelopus varius zeteki

REPTILIA

Crocodylia

Alligatoridae

*Alligator mississippiensis**Alligator sinensis**Melanosuchus niger**Caiman crocodilus apaporiensis**Caiman latirostris*

Crocodylidae

*Tomistoma schlegelii**Osteolaemus tetraspis tetraspis**Osteolaemus tetraspis osborni**Crocodylus cataphractus**Crocodylus siamensis**Crocodylus palustris palustris**Crocodylus palustris kimbula**Crocodylus novaeguineae mindorensis**Crocodylus intermedius**Crocodylus rhombifer**Crocodylus moreletii**Crocodylus niloticus*

Gavialidae

Gavialis gangeticus

Testudinata

Emydidae

*Batagur baska**Geoclemys* (= *Damonia*) *hamiltonii**Geoemyda* (= *Nicoria*) *tricarinata**Kachuga tecta tecta**Morenia ocellata**Terrapene coahuila*

Testudinidae

Geochelone (= *Testudo*) *elephantopus**Geochelone* (= *Testudo*) *geometrica**Geochelone* (= *Testudo*) *radiata**Geochelone* (= *Testudo*) *yniphora*

Cheloniidae

*Eretmochelys imbricata imbricata**Lepidochelys kempii*

Trionychidae

*Lissemys punctata punctata**Trionyx ater**Trionyx nigricans**Trionyx gangeticus**Trionyx hurum*

Chelidae

Pseudemydura umbrina

Sauria

Varanidae

- Varanus komodoensis*
Varanus flavescens
Varanus bengalensis
Varanus griseus

Serpentes

Boidae

- Epicrates inornatus inornatus*
Epicrates subflavus
Python molurus molurus

Rhynchocephalia

Sphenodontidae

- Sphenodon punctatus*

PISCES

Acipenseriformes

Acipenseridae

- Acipenser brevirostrum*
Acipenser oxyrinchus

Osteoglossiformes

Osteoglossidae

- Scleropages formosus*

Salmoniformes

Salmonidae

- Coregonus alpenae*

Cypriniformes

Catostomidae

- Chasmistes cujus*

Cyprinidae

- Probarbus jullieni*

Siluriformes

Schilbeidae

- Pangasianodon gigas*

Perciformes

Percidae

- Stizostedion vitreum glaucum*

MOLLUSCA

Naiadoida

Unionidae

- Conradilla caelata*
Dromus dromas
Epioblasma (= *Dysnomia*) *florentina curtisi*
Epioblasma (= *Dysnomia*) *florentina florentina*
Epioblasma (= *Dysnomia*) *sampsoni*
Epioblasma (= *Dysnomia*) *sulcata perobliqua*
Epioblasma (= *Dysnomia*) *torulosa gubernaculum*
Epioblasma (= *Dysnomia*) *torulosa torulosa*
Epioblasma (= *Dysnomia*) *turgidula*
Epioblasma (= *Dysnomia*) *walkeri*
Fusconaia cuneolus
Fusconaia edgariana
Lampsilis higginsii
Lampsilis orbiculata orbiculata
Lampsilis satura
Lampsilis virescens
Plethobasis cicatricosus
Plethobasis cooperianus
Pleurobema plenum
Potamilus (= *Proptera*) *capax*
Quadrula intermedia
Quadrula sparsa
Toxolasma (= *Carunculina*) *cylindrella*
Unio (*Megaloniaias*/?/?) *nickliniana*
Unio (*Lampsilis*/?/?) *tampicoensis tecomatensis*
Villosa (= *Micromya*) *trabalis*

FLORA

Aracfae

- Alocasia sanderana*
Alocasia zebrina

Caryocaraceae

- Caryocar costaricense*

Caryophyllaceae

- Gymnocarpus przewalskii*
Melandrium mongolicum
Silene mongolica
Stellaria pulvinata

Cupressaceae

- Pilgerodendron uviferum*

Cycadaceae

- Encephalartos* spp.
Microcycas calocoma
Stangeria eriopus

Gentianaceae

- Prepusa hookeriana*

Humiriaceae

- Vantanea barbourii*

Jugiandaceae	<i>Laelia lobata</i>
<i>Engelhardtia pterocarpa</i>	<i>Lycaste virginalis</i> var. <i>alba</i>
Leguminosae	<i>Peristeria elata</i>
<i>Ammopiptanthus mongolicus</i>	Pinaceae
<i>Cynometra hemitomophylla</i>	<i>Abies guatemalensis</i>
<i>Platymiscium pleiostachyum</i>	<i>Abies nebrodensis</i>
Liliaceae	Podocarpaceae
<i>Aloe albida</i>	<i>Podocarpus costalis</i>
<i>Aloe pillansii</i>	<i>Podocarpus parlatorei</i>
<i>Aloe polyphylla</i>	Proteaceae
<i>Aloe thorncroftii</i>	<i>Orothamnus zeyheri</i>
<i>Aloe vossii</i>	<i>Protea odorata</i>
Melastomataceae	Rubiaceae
<i>Lavoisiera itambana</i>	<i>Balmea stormae</i>
Meliaceae	Saxifragaceae (Grossulariaceae)
<i>Guarea longipetiolata</i>	<i>Ribes sardoum</i>
Leguminosae	Cupressaceae
<i>Tachigalia versicolor</i>	<i>Fitzroya cupressoides</i>
Moraceae	Ulmaceae
<i>Batocarpus costaricensis</i>	<i>Celtis aetnensis</i>
Orchidaceae	Welwitschiaceae
<i>Laelia jongheana</i>	<i>Welwitschia bainesii</i>
<i>Cattleya skinneri</i>	Zingiberaceae
<i>Cattleya trianae</i>	<i>Hedychium philippinense</i>
<i>Didicicia cunninghamii</i>	

APPENDIX II

Interpretation

1. Species included in this Appendix are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation "spp." is used to denote all the species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. An asterisk (*) placed against the name of a species or higher taxon indicates that one or more geographically separate populations, sub-species or species of that taxon are included in Appendix I and that these populations, sub-species or species are excluded from Appendix II.
5. The symbol (#) followed by a number placed against the name of a species or higher taxon designates parts or derivatives which are specified in relation thereto for the purposes of the present Convention as follows:
 - #1 designates root
 - #2 designates timber
 - #3 designates trunks.
6. The symbol (–) followed by a number placed against the name of a species or higher taxon indicates the exclusion from that species or taxon of designated geographically separate populations, sub-species, species or groups of species as follows:
 - 101 Species which are not succulents.

7. The symbol (+) followed by a number placed against the name of a species or higher taxon denotes that only designated geographically separate populations, sub-species or species of that species or taxon are included in this Appendix as follows:

- + 201 All North American sub-species
- + 202 New Zealand species
- + 203 All species of the family in the Americas
- + 204 Australian population.

FAUNA

MAMMALIA

Marsupialia

- Macropodidae
 - Dendrolagus inustus*
 - Dendrolagus ursinus*

Insectivora

- Erinaceidae
 - Erinaceus frontalis*

Primates

- Lemuridae
 - Lemur catta* *
- Lorisidae
 - Nycticebus coucang*
 - Loris tardigradus*

Cebidae

- Cebus capucinus*

Cercopithecidae

- Macaca sylvanus*
- Colobus badius gordonorum*
- Colobus verus*
- Rhinopithecus roxellanae*
- Presbytis johnii*

Pongidae

- Pan paniscus*
- Pan troglodytes*

Edentata

- Myrmecophagidae
 - Myrmecophaga tridactyla*
 - Tamandua tetradactyla chapadensis*

Bradypodidae

- Bradypus boliviensis*

Pholidota

- Manidae
 - Manis crassicaudata*
 - Manis pentadactyla*
 - Manis javanica*

Lagomorpha

- Leporidae
 - Nesolagus netscheri*

Rodentia

- Heteromyidae
 - Dipodomys phillipsii phillipsii*
- Sciuridae
 - Ratufa* spp.
 - Lariscus hosei*

Castoridae

- Castor canadensis frondator*
- Castor canadensis repentinus*

Cricetidae

- Ondatra zibethicus bernardi*

Carnivora

Canidae

- Canis lupus pallipes*
- Canis lupus irremotus*
- Canis lupus crassodon*
- Chrysocyon brachyurus*
- Cuon alpinus*

Ursidae

- Ursus (Thalarchos) maritimus*
- Ursus arctos* * + 201
- Helarctos malayanus*

Procyonidae

- Ailurus fulgens*

Mustelidae

- Martes americana atrata*

Viverridae

- Prionodon linsang*
- Cynogale bennetti*
- Helogale derbianus*

Felidae

- Felis yagouaroundi**
- Felis colocolo pajeros*

- Felis colocolo crespoi*
Felis colocolo budini
Felis concolor missoulensis
Felis concolor mayensis
Felis concolor azteca
Felis serval
Felis lynx isabellina
*Felis wiedii**
*Felis pardalis**
*Felis tigrina**
Felis (= Caracal) caracal
Panthera leo persica
Panthera tigris altaica (= amurensis)
- Pinnipedia**
- Otariidae
- Arctocephalus australis*
Arctocephalus galapagoensis
Arctocephalus philippii
Arctocephalus townsendi
- Phocidae
- Mirounga australis*
Mirounga leonina
- Tubulidentata**
- Orycteropodidae
- Orycteropus afer*
- Sirenia**
- Dugongidae
- Dugong dugon* * + 204
- Trichechidae
- Trichechus senegalensis*
- Perissodactyla**
- Equidae
- Equus hemionus**
- Tapiridae
- Tapirus terrestris*
- Rhinocerotidae
- Diceros bicornis*
- Artiodactyla**
- Hippopotamidae
- Choeropsis liberiensis*
- Cervidae
- Cervus elaphus bactrianus*
Pudu mephistophiles
- Antilocapridae
- Antilocapra americana mexicana*
- Bovidae
- Cephalophus monticola*
Oryx (tao) dammah
Addax nasomaculatus
- Pantholops hodgsoni*
*Capra falconeri**
*Ovis ammon**
Ovis canadensis
- AVES**
- Sphenisciformes**
- Spheniscidae
- Spheniscus demersus*
- Rheiformes**
- Rheidae
- Rhea americana albescens*
Pterocnemia pennata
Pterocnemia pennata garleppi
- Tinamiformes**
- Tinamidae
- Rhynchotus rufescens rufescens*
Rhynchotus rufescens pallescens
Rhynchotus rufescens maculicollis
- Ciconiiformes**
- Ciconiidae
- Ciconia nigra*
- Threskiornithidae
- Geronticus calvus*
Platalea leucorodia
- Phoenicopteridae
- Phoenicopiterus ruber chilensis*
Phoenicoparrus andinus
Phoenicoparrus jamesi
- Pelecaniformes**
- Pelecanidae
- Pelecanus crispus*
- Anseriformes**
- Anatidae
- Anas aucklandica aucklandica*
Anas aucklandica chlorotis
Anas bernieri
Dendrocygna arborea
Sarkidiornis melanotos
Anser albifrons gambelli
Cygnus bewickii jankowskii
Cygnus melancoryphus
Coscoroba coscoroba
Branta ruficollis
- Falconiformes**
- Accipitridae
- Gypaetus barbatus meridionalis*
Aquila chrysaetos

- Falconidae
 Spp.*
- Galliformes
 Megapodiidae
Megapodius freycinet nicobariensis
Megapodius freycinet abbotti
- Tetraonidae
Tympanuchus cupido pinnatus
- Phasianidae
Francolinus ochropectus
Francolinus swierstrai
Catreus wallichii
Polyplectron malacense
Polyplectron germaini
Polyplectron bicalcaratum
Gallus sonneratii
Argusianus argus
Ithaginis cruentus
Cyrtonyx montezumae montezumae
Cyrtonyx montezumae mearnsi
- Gruiformes
 Gruidae
Balearica regulorum
Grus canadensis pratensis
- Rallidae
Gallirallus australis hectori
- Otididae
Chlamydotis undulata
Choriotis nigriceps
Otis tarda
- Charadriiformes
 Scolopacidae
Numenius tenuirostris
Numenius minutus
- Laridae
Larus brunneicephalus
- Columbiformes
 Columbidae
Gallicolumba luzonica
Goura cristata
Goura scheepmakeri
Goura victoria
Caloenas nicobarica pelewensis
- Psittaciformes
 Psittacidae
Coracopsis nigra barklyi
Prosopelia personata
Eunymphicus cornutus
Cyanoramphus unicolor
Cyanoramphus malherbi
- Poicephalus robustus*
Tanygnathus lucionensis
Probosciger aterrimus
- Cuculiformes
 Musophagidae
Tauraco corythaix
Gallirex porphyreolophus
- Strigiformes
 Strigidae
Otus nudipes newtoni
- Coraciiformes
 Bucerotidae
Buceros rhinoceros rhinoceros
Buceros bicornis
Buceros hydrocorax hydrocorax
Aceros narcondami
- Piciformes
 Picidae
Picus squamatus flavirostris
- Passeriformes
 Cotingidae
Rupicola rupicola
Rupicola peruviana
- Pittidae
Pitta brachyura nympha
- Hirundinidae
Pseudochelidon sirintarae
- Paradisaeidae
 Spp.
- Muscicapidae
Muscicapa ruecki
- Fringillidae
Spinus yarrellii
- AMPHIBIA
- Urodela
 Ambystomidae
Ambystoma mexicanum
Ambystoma dumerilii
Ambystoma lermaensis
- Salientia
 Bufonidae
Bufo retiformis
- REPTILIA
- Crocodylia
 Alligatoridae
Caiman crocodilus crocodilus

- Caiman crocodilus yacare*
Caiman crocodilus fuscus (chiapasius)
Paleosuchus palpebrosus
Paleosuchus trigonatus
 Crocodylidae
Crocodylus johnsoni
Crocodylus novaeguineae novaeguineae
Crocodylus porosus
Crocodylus acutus
- Testudinata
 Emydidae
Clemmys muhlenbergi
 Testudinidae
Chersine spp.
Geochelone spp.*
Gopherus spp.
Homopus spp.
Kinixys spp.
Malacochersus spp.
Pyxis spp.
Testudo spp.*
- Cheloniidae
Caretta caretta
Chelonia mydas
Chelonia depressa
Eretmochelys imbricata bissa
Lepidochelys olivacea
- Dermochelyidae
Dermochelys coriacea
- Pelomedusidae
Podocnemis spp.
- Sauria
 Teiidae
Cnemidophorus hyperythrus
 Iguanidae
Conolophus pallidus
Conolophus subcristatus
Amblyrhynchus cristatus
Phrynosoma coronatum blainvillei
 Helodermatidae
Heloderma suspectum
Heloderma horridum
 Varanidae
Varanus spp.*
- Serpentes
 Boidae
Epicrates cenchris cenchris
Eunectes notaeus
Constrictor constrictor
Python spp.*
- Colubridae
Cyclagras gigas
Pseudoboa cloelia
Elachistodon westermanni
Thamnophis elegans hammondi
- PISCES
 Acipenseriformes
 Acipenseridae
Acipenser fulvescens
Acipenser sturio
 Osteoglossiformes
 Osteoglossidae
Arapaima gigas
 Salmoniformes
 Salmonidae
Stenodus leucichthys leucichthys
Salmo chrysogaster
 Cypriniformes
 Cyprinidae
Plagopterus argentissimus
Ptychocheilus lucius
 Atheriniformes
 Cyprinodontidae
Cynolebias constanciae
Cynolebias marmoratus
Cynolebias minimus
Cynolebias opalescens
Cynolebias splendens
 Poeciliidae
Xiphophorus couchianus
 Coelacanthiformes
 Coelacanthidae
Latimeria chalumnae
 Ceratodiformes
 Ceratodidae
Neoceratodus forsteri
- MOLLUSCA
 Naiadoida
 Unionidae
Cyprogenia aberti
Epioblasma (=Dysnomia) torulosa rangiana
Fusconaia subrotunda
Lampsilis brevicula
Lexingtonia dolabelloides
Pleorobema clava

Stylommatophora

Camaenidae

Papustyla (= *Papuina*) *pulcherrima*

Paraphantidae

Paraphanta spp. + 202*Prosobranchia*

Hydrobiidae

*Coahuilix hubbsi**Cochliopina milleri**Durangonella coahuilae**Mexipyrgus carranzæ**Mexipyrgus churinceanus**Mexipyrgus escobedaë**Mexipyrgus lugoi**Mexipyrgus mojarralis**Mexipyrgus multilineatus**Mexithauma quadripaludium**Nymphophilus minckleyi**Paludiscala caramba*

INSECTA

Lepidoptera

Papilionidae

Parnassius apollo apollo

FLORA

Apocynaceae

Pachypodium spp.

Araliaceae

Panax quinquefolius #1

Araucariaceae

Araucaria araucana #2

Cactaceae

Cactaceae spp. + 203*Rhipsalis* spp.

Compositae

Saussurea lappa #1

Cyatheaceae

Cyathea (Hemitelia) capensis #3*Cyathea dregei* #3*Cyathea mexicana* #3*Cyathea (Alsophila) salvinii* #3

Dioscoreaceae

Dioscorea deltoidea #1

Euphorbiaceae

Euphorbia spp. — 101

Fagaceae

Quercus copeyensis #2

Leguminosae

Thermopsis mongolica

Liliaceae

Aloe spp.*

Meliaceae

Swietenia humilis #2

Orchidaceae

Spp.*

Palmae

*Areca ipot**Phoenix hanceana* var. *philippinensis**Zalacca clemensiana*

Portulacaceae

Anacampseros spp.

Primulaceae

Cyclamen spp.

Solanaceae

Solanum sylvestre

Sterculiaceae

Basiloxylon excelsum #2

Verbenaceae

Caryopteris mongolica

Zygophyllaceae

Guaiacum sanctum #2

[Appendix III is to be established by the Parties at a later date.]

APPENDIX IV

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

Export Permit No.

Exporting country: Valid until (Date):

This permit is issued to:

address:

who declares that he is aware of the provisions of the Convention, for the purpose of exporting:

(specimen(s), or part(s) or derivative(s) of specimen(s))¹

of a species listed in Appendix I
Appendix II
Appendix III of the Convention as specified below. }²

(bred in captivity or cultivated in),²

This(these) specimen(s) is(are) consigned to:

Address: Country:

At On

(signature of the applicant for the permit)

At On

(stamp and signature of the Management Authority issuing the export permit)

¹ Indicate the type of product.

² Delete if not applicable.

Description of the specimen(s) or part(s) or derivative(s) of specimen(s), including any mark(s) affixed

LIVING SPECIMENS

<i>Species (scientific and common name)</i>	<i>Number</i>	<i>Sex</i>	<i>Size (or volume)</i>	<i>Mark (if any)</i>
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PARTS OR DERIVATIVES

<i>Species</i> <i>(scientific and common name)</i>	<i>Quantity</i>	<i>Type of goods</i>	<i>Mark</i> <i>(if any)</i>
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Stamps of the authorities inspecting:

- (a) on exportation
- (b) on importation.*

* This stamp voids this permit for further trade purposes, and this permit shall be surrendered to the Management Authority.

For Afghanistan:
Pour l'Afghanistan :
Por el Afganistán:

For Algeria:
Pour l'Algérie :
Por Argelia:

For Argentina:
Pour l'Argentine :
Por la Argentina:

[CARLOS M. MUNIZ]¹

For Australia:
Pour l'Australie :
Por Australia:

For Austria:
Pour l'Autriche :
Por Austria:

For Bangladesh:
Pour le Bangladesh :
Por Bangladesh:

¹ Names of signatories appearing between brackets were not legible and have been supplied by the Government of Switzerland — Les noms des signataires donnés entre crochets étaient illisibles et ont été fournis par le Gouvernement suisse.

For Belgium:
Pour la Belgique :
Por Bélgica:

[W. LORIDAN]

For Bolivia:
Pour la Bolivie :
Por Bolivia:

For Botswana:
Pour le Botswana :
Por Botswana:

For Brazil:
Pour le Brésil :
Por el Brasil:

[CELSO DINIZ]

For Burundi:
Pour le Burundi :
Por Burundi:

For Cameroon:
Pour le Cameroun :
Por el Camerún:

For Canada:
Pour le Canada :
Por el Canadá:

For the Central African Republic:
Pour la République centrafricaine :
Por la República Centroafricana:

For Colombia:
Pour la Colombie :
Por Colombia:

[AMAURI GARCÍA BURGOS]
Juin 4, 1973

For Costa Rica:
Pour le Costa Rica :
Por Costa Rica:

[HERBERT NANNE ECHANDI]

For Cyprus:
Pour Chypre :
Por Chipre:

[ANGELOS ANGELIDES]

For Czechoslovakia:
Pour la Tchécoslovaquie :
Por Checoslovaquia:

For Denmark:
Pour le Danemark :
Por Dinamarca:

[GUNNAR SEIDENFADEN]

For the Dominican Republic:
Pour la République dominicaine :
Por la República Dominicana:

For Egypt:
Pour l'Égypte :
Por Egipto:

For El Salvador:
Pour El Salvador :
Por El Salvador:

For Finland:
Pour la Finlande :
Por Finlandia:

For France:
Pour la France :
Por Francia:

[JEAN GABARRA]

For the German Democratic Republic:
Pour la République démocratique allemande :
Por la República Democrática Alemana:

For the Federal Republic of Germany:
Pour la République fédérale d'Allemagne :
Por la República Federal de Alemania:

[ROLF PAULS]

For Ghana:
Pour le Ghana :
Por Ghana:

For Greece:
Pour la Grèce :
Por Grecia:

For Guatemala:
Pour le Guatemala :
Por Guatemala:

[JULIO ASENSIO WUNDERLICH]

For Guyana:
Pour la Guyane :
Por Guyana:

For Honduras:
Pour le Honduras :
Por Honduras:

For India:
Pour l'Inde :
Por la India:

For Indonesia:
Pour l'Indonésie :
Por Indonesia:

For Iran:
Pour l'Iran :
Por el Irán:

[HASSAN IZADI]

For Israel:
Pour Israël :
Por Israel:

[ELIEZER EPHRATI]
March 5, 1973

For Italy:
Pour l'Italie :
Por Italia:

[VINCENZO DE BENEDICTIS]

For Japan:
Pour le Japon :
Por el Japón:

[NOBUHIKO USHIBA]
30th April 1973

For Jordan:
Pour la Jordanie :
Por Jordania:

For Kenya:
Pour le Kenya :
Por Kenia:

[LEONARD OLIVER KIBINGE]
30 April 1973

For the Khmer Republic:
Pour la République khmère :
Por la República Khmer:

For the Republic of Korea:
Pour la République de Corée :
Por la República de Corea:

For Lebanon:
Pour le Liban :
Por el Líbano:

For Luxembourg:
Pour le Luxembourg :
Por Luxemburgo:

[JEAN WAGNER]

For the Malagasy Republic:
Pour la République malgache :
Por la República Malgache:

[RAHARIJAONA]
April 4th, 1973

For Malawi:
Pour le Malawi :
Por Malawi:

For Mauritius:
Pour l'île Maurice :
Por Mauricio:

[PIERRE GUY GIRALD BALANCY]

For Mexico:
Pour le Mexique :
Por México:

For Mongolia:
Pour la Mongolie :
Por Mongolia:

For Morocco:
Pour le Maroc :
Por Marruecos:

[BADREDDINE SENOSSI]
9-3-73

For the Kingdom of the Netherlands:
Pour le Royaume des Pays-Bas :
Por el Reino de los Países Bajos:

For Niger:
Pour le Niger :
Por el Níger:

[ABDOULAYE DIALLO]
5.3.73

For Nigeria:
Pour le Nigéria :
Por Nigeria:

For Pakistan:
Pour le Pakistan :
Por el Pakistán:

For Panama:
Pour le Panama :
Por Panamá:

[MARINA MAYO]
(sujeto ratificación y declaración)¹

For Paraguay:
Pour le Paraguay :
Por el Paraguay:

Ad referendum
30 de Abril de 1973²
[MIGUEL SOLANO LÓPEZ]
[GILBERTO CANIZA SANCHIZ]

For Peru:
Pour le Pérou :
Por el Perú:

For the Philippines:
Pour les Philippines :
Por Filipinas:

[EDUARDO Z. ROMUALDEZ]
[JESUS ALVAREZ]
[ROMEO A. ARGUELLES]

For Poland:
Pour la Pologne :
Por Polonia:

¹ Subject to ratification and declaration — Sous réserve de ratification et déclaration.

² 30 April 1973 — 30 avril 1973.

For Portugal:
Pour le Portugal :
Por Portugal:

For Rwanda:
Pour le Rwanda :
Por Rwanda:

For Senegal:
Pour le Sénégal :
Por el Senegal:

For Sierra Leone:
Pour la Sierra Leone :
Por Sierra Leona:

For South Africa:
Pour l'Afrique du Sud :
Por Sudáfrica:

[JOHANN SAMUEL FREDERICK BOTHA]

For Spain:
Pour l'Espagne :
Por España:

For the Sudan:
Pour le Soudan :
Por el Sudán:

[KAMAL AHMED DAWOUD]
27th April 1973

For Swaziland:
Pour le Swaziland :
Por Swazilandia:

For Sweden:
Pour la Suède :
Por Suecia:

[LEIF LEIFLAND]
April 3, 1973

For Switzerland:
Pour la Suisse :
Por Suiza:

[FELIX SCHNYDER]
April 2, 1973

For Tanzania:
Pour la Tanzanie :
Por Tanzania:

[BOMANI]
30th April 1973

For Thailand:
Pour la Thaïlande :
Por Tailandia:

[THANOM PREMNASMI]

For Togo:
Pour le Togo :
Por el Togo:

[MAWUSSI]
3.7.73¹

¹ 7 March 1973 — 7 mars 1973.

For Tunisia:
Pour la Tunisie :
Por Túnez:

[SLAHEDDINE EL GOULLI]
3-21, 73

For Turkey:
Pour la Turquie :
Por Turquía:

For the United Kingdom of Great Britain and Northern Ireland:
Pour le Royaume-Uni de Grande-Bretagne et d'Irlande du Nord :
Por el Reino Unido de Gran Bretaña e Irlanda del Norte:

[PAUL RANDELL ODGERS]

For the United States of America:
Pour les Etats-Unis d'Amérique :
Por los Estados Unidos de América:

[RUSSELL E. TRAIN]
[CHRISTIAN A. HERTER]
[WYMBERLEY DE R. COERR]

For the Union of Soviet Socialist Republics:
Pour l'Union des Républiques socialistes soviétiques :
Por la Unión de Repúblicas Socialistas Soviéticas:

For the Upper Volta:
Pour la Haute-Volta :
Por el Alto Volta:

For Venezuela:
Pour le Venezuela :
Por Venezuela:

[GONZALO MEDINA PADILLA]

For the Republic of Viet-Nam:
Pour la République du Viet-Nam :
Por la República de Viet-Nam:

[TRAN-KIM-PHUONG]

For Zambia:
Pour la Zambie :
Por Zambia:

For the Republic of China:
Pour la République de Chine :
Por la República de China:

[JAMES C. H. SHEN]
April 27, 1973

RESERVATIONS MADE
UPON RATIFICATION

CANADA

[TRANSLATION — TRADUCTION]

On depositing its instrument of ratification, the Canadian Government, in accordance with article XXIII of the Convention, entered a specific reservation relating to the following species included in Appendix I:

Mammalia

Eschrichtius robustus (glaucus)
Balaenoptera musculus
Megaptera novaeangliae
Balaena mysticetus
Eubalaena spp.
Ursus americanus emmonsii
Felis concolor cougar
Bison bison athabascae

Aves

Branta canadensis leucopareia

Pisces

Acipenser brevirostrum
Acipenser oxyrhynchus
Coregonus alpenae
Stizostedium vitreum glaucum

On depositing its instrument of ratification, the Canadian Government, in accordance with article XXIII of the Convention, entered a specific reservation relating to the following species included in Appendix II:

Mammalia

Canis lupus irremotus
Canis lupus crassodon
Ursus (Thalarctos) maritimus
*Ursus arctos**
Martes americana atrata
Felis concolor missoulensis
Ovis canadensis

Aves

Anser albifrons gambelli
Aquila chrysaetos
Falconidae spp.**

RÉSERVES FAITES
LORS DE LA RATIFICATION

CANADA

En déposant son instrument de ratification, le Gouvernement canadien, se fondant sur l'article XXIII de la Convention, a formulé une réserve spéciale se rapportant aux espèces suivantes figurant à l'annexe I :

Mammalia

Eschrichtius robustus (glaucus)
Balaenoptera musculus
Megaptera novaeangliae
Balaena mysticetus
Eubalaena spp.
Ursus americanus emmonsii
Felis concolor cougar
Bison bison athabascae

Aves

Branta canadensis leucopareia

Pisces

Acipenser brevirostrum
Acipenser oxyrhynchus
Coregonus alpenae
Stizostedium vitreum glaucum

En déposant son instrument de ratification, le Gouvernement canadien, se fondant sur l'article XXIII de la Convention, a formulé une réserve spéciale se rapportant aux espèces suivantes figurant à l'annexe II :

Mammalia

Canis lupus irremotus
Canis lupus crassodon
Ursus (Thalarctos) maritimus
*Ursus arctos**
Martes americana atrata
Felis concolor missoulensis
Ovis canadensis

Aves

Anser albifrons gambelli
Aquila chrysaetos
Falconidae spp.**

* All North American sub-species.

** All species or sub-species not included in Appendix I.

* Toutes les sous-espèces de l'Amérique du Nord.

** Toutes les espèces ou sous-espèces ne figurant pas à l'annexe I.

Pisces

Acipenser fulvescens

Flora

Cactaceæ spp.**Orchidaceæ* spp.*

Pisces

Acipenser fulvescens

Flora

Cactaceæ spp.**Orchidaceæ* spp.*

* All species or sub-species not included in Appendix I.

* Toutes les espèces ou sous-espèces ne figurant pas à l'annexe I.

FINAL ACT OF THE PLENIPOTENTIARY CONFERENCE TO CONCLUDE
AN INTERNATIONAL CONVENTION ON TRADE IN CERTAIN
SPECIES OF WILDLIFE, WASHINGTON, D.C.

The Representatives of the Governments to the Plenipotentiary Conference to Conclude an International Convention on Trade in Certain Species of Wildlife met at Washington, D.C. from February 12 to March 2, 1973, for the purpose of preparing and adopting a convention on export, import and transit of certain species of wild fauna and flora. The Conference met in fulfillment of the recommendations stated in Resolution 99.3¹ of the United Nations Conference on the Human Environment held in Stockholm, June of 1972, which states as follows: "It is recommended that a plenipotentiary conference be convened as soon as possible, under appropriate governmental or intergovernmental auspices, to prepare and adopt a convention on export, import and transit of certain species of wild animals and plants."

The Conference was convened by the Government of the United States of America. Governments of the following States were represented at the Conference: Afghanistan, Algeria, Argentina, Australia, Austria, Bangladesh, Belgium, Bolivia, Botswana, Brazil, Burundi, Cameroon, Canada, Central African Republic, Colombia, Costa Rica, Cyprus, Czechoslovakia, Denmark, Dominican Republic, Egypt, El Salvador, Finland, France, German Democratic Republic, Germany, Federal Republic of, Ghana, Greece, Guatemala, Guyana, Honduras, India, Indonesia, Iran, Israel, Italy, Japan, Jordan, Kenya, Khmer Republic, Korea, Republic of, Lebanon, Luxembourg, Malagasy Republic, Malawi, Mauritius, Mexico, Mongolia, Morocco, Netherlands, Niger, Nigeria, Pakistan, Paraguay, Panama, Peru, Philippines, Poland, Portugal, Rwanda, Senegal, Sierra Leone, South Africa, Spain, Sudan, Swaziland, Sweden, Switzerland, Tanzania, Thailand, Togo, Tunisia, Turkey, Union of Soviet Socialist Republics, United Kingdom, United States, Upper Volta, Venezuela, Vietnam, Republic of, and Zambia.

The Governments of Chad, Chile, Ecuador, Hungary, Ivory Coast, Jamaica, Kuwait and Norway were represented by Observers.

The following international organizations were represented by Observers: Customs Cooperation Council, European Communities, Food and Agriculture Organization, International Council for Bird Preservation, International Union for Conservation of Nature and Natural Resources, United Nations Educational, Scientific and Cultural Organization.

The Conference elected as Chairman, Mr. Christian A. Herter, Jr. (United States) and as Vice Chairmen, Dr. Francisco Vizcaino Murray (Mexico), Prof. Dr. Drs. h.c. Hans Karl Oskar Stubbe (German Democratic Republic), H.E. Ambassador S. T. Msindazwe Sukati (Swaziland), Dr. Donald F. McMichael (Australia) and Minister Abdul Habir (Indonesia). Dr. Donald F. McMichael (Australia) was appointed Rapporteur.

The Secretary General of the Conference was Mr. Francis J. Seidner, U.S. Department of State, and Mr. Frank Nicholls, International Union for Conservation of Nature and Natural Resources (IUCN), and Mr. John K. Mutinda (Kenya) were Assistant Secretaries General. Technical Secretaries were Sir Hugh Elliott (IUCN), Mr. Harry A. Goodwin (IUCN), Mr. John W. Grandy IV (National Parks and Conservation Association) and Mr. Collin Holloway (IUCN).

¹ United Nations, document A/CONF. 48/14 and Rev. 1, *Report of the United Nations Conference on the Human Environment*, held at Stockholm, June 5-16, 1972, and International Legal Materials, *Current Documents*, vol. XI, 1972, p. 1416.

The Conference established the following committees:

Credentials Committee

Swaziland—Chairman

Mexico—Vice Chairman

Australia, German Democratic Republic, Indonesia.

Drafting Committee

Dr. Duncan Poore (United Kingdom)—Chairman

Mr. Andrés Rozental (Mexico)—Vice Chairman

Argentina, Austria, Brazil, Denmark, France, German Democratic Republic, Germany, Federal Republic of, Indonesia, Japan, Kenya, Korea, Republic of, Malagasy Republic, Netherlands, South Africa, Spain, Sweden, Switzerland, Union of Soviet Socialist Republics, United States.

Steering Committee

United States—Chairman

Secretary General (*ex officio*)

Australia, German Democratic Republic, Indonesia, Mexico, Swaziland.

Committee I (Appendices—Animals)

Prof. Jorge Ibarra (Guatemala)—Chairman

Mr. Perez Olindo (Kenya)—Vice Chairman

Argentina, Australia, Brazil, Canada, Colombia, Costa Rica, Denmark, France, Germany, Federal Republic of, Indonesia, Italy, Japan, Korea, Republic of, Mexico, Mongolia, Netherlands, Panama, Philippines, South Africa, Sudan, Sweden, Thailand, Union of Soviet Socialist Republics, United Kingdom, United States.

Committee II (Appendices—Plants)

Mr. William Hartley (Australia)—Chairman

Mr. Romeo A. Arguelles (Philippines)—Vice Chairman

Argentina, Brazil, Canada, Denmark, Guatemala, Indonesia, Italy, Japan, Kenya, Korea, Republic of, Mexico, Mongolia, Netherlands, South Africa, Union of Soviet Socialist Republics, United Kingdom, United States.

Committee III (Customs Matters)

Dr. D. L. O'Connor (Australia), Mr. Atsushi Tokinoya (Japan)—Chairmen

Mr. Andrej Florin (German Democratic Republic)—Vice Chairman

Australia, Austria, Brazil, Canada, France, Germany, Federal Republic of, Indonesia, Kenya, Korea, Republic of, Mexico, Netherlands, Sudan, Switzerland, United Kingdom, United States.

A number of *ad hoc* committees were appointed to deal with special problems as the need arose.

The Conference convened in twenty-three Plenary Sessions.

Following its deliberations, the Conference adopted the text of a Convention on International Trade in Endangered Species of Wild Fauna and Flora. The Con-

ference accepted the offer of the Government of the Swiss Confederation to act as Depository Government.

The Executive Director of the United Nations Environment Programme has indicated he will be able to provide Secretariat services for the Convention. To the extent and in the manner he considers appropriate, he may be assisted by suitable intergovernmental or non-governmental, international and national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.

The Convention has been opened for signature by the States participating in the Conference in Washington, this day until April 30, 1973, and thereafter shall be open for signature at Berne until December 31, 1974.

In addition to adopting a Convention on International Trade in Endangered Species of Wild Fauna and Flora, the Conference adopted the following resolutions which are annexed to this Final Act:

- resolution to include the Chinese language;
- resolution to include the Russian language;
- resolution on Article XII.

The original of this Final Act, the Chinese, English, French, Russian and Spanish texts of which are equally authentic, shall be deposited with the Government of the Swiss Confederation which shall transmit certified copies thereof to all States which participated in the present Conference.

IN WITNESS WHEREOF the Representatives have signed this Final Act.

DONE in Washington, on the second day of March of the year One Thousand Nine Hundred and Seventy-three.

RESOLUTION TO INCLUDE THE CHINESE LANGUAGE

The Conference,

Noting that the Chinese language text of the Convention on International Trade in Endangered Species of Wild Fauna and Flora must be properly prepared and included as an authentic text of this Convention,

Resolves:

That the Government of the United States, as host to the Conference, shall be invited to arrange for the preparation of the text of the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Chinese language and communicate such Chinese text to all States participating in this Conference with a statement that any comments or suggestions regarding the conformity of the text with other authentic texts are to be communicated to the Government of the United States within one month after the date on which the text is transmitted to the participating States. The Government of the United States shall thereupon take into account any comments and suggestions received during the said one month period and, after resolving any inconsistencies, shall transmit the Chinese language text to the Depository Government which shall include that text in the text of the Convention.

RESOLUTION TO INCLUDE THE RUSSIAN LANGUAGE

The Conference,

Noting that the Russian language text of the Convention on International Trade in Endangered Species of Wild Fauna and Flora must be properly prepared and included as an authentic text of this Convention,

Resolves:

That the Government of the United States, as host to the Conference, shall be invited to arrange for the preparation of the text of the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Russian language and communicate such Russian text to all States participating in this Conference with a statement that any comments or suggestions regarding the conformity of the text with other authentic texts are to be communicated to the Government of the United States within one month after the date on which the text is transmitted to the participating States. The Government of the United States shall thereupon take into account any comments and suggestions received during the said one month period and, after resolving any inconsistencies, shall transmit the Russian language text to the Depositary Government which shall include that text in the text of the Convention.

RESOLUTION ON ARTICLE XII

The Conference,

Noting that Article XII of the Convention on International Trade in Endangered Species of Wild Fauna and Flora contemplates that the United Nations Environment Programme shall assume Secretariat responsibilities upon entry into force of the Convention;

Aware of the fact that this assumption of responsibilities could be considered and determined at the June 1973 meeting of the Governing Council of the United Nations Environment Programme;

Recognizing that adequate preparations must be made to ensure that the Contracting States may make an informal and well-considered choice in the event the United Nations Environment Programme is unable to assume those responsibilities;

1. Expresses the hope that the Governing Council will approve the undertaking of Secretariat functions by the United Nations Environment Programme;
2. Decides, in the event the United Nations Environment Programme has not assumed Secretariat functions by September 1, 1973, to invite any Parties to the Convention to communicate to the Depositary Government proposals concerning the possibility of another existing agency assuming the responsibilities of the Secretariat for consideration at the first Conference of the Contracting States;
3. Requests the Depositary Government to transmit to the Contracting States such proposals as are received at least ninety days in advance of the first Conference;
4. Invites the Depositary Government to assume Secretariat responsibilities on an interim basis pending consideration of this matter at the first Conference of Contracting States if the United Nations Environment Programme has not done so when the Convention enters into force. The Depositary Government may request the assistance of intergovernmental or non-governmental, international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.

For Afghanistan:
Pour l'Afghanistan :
Por el Afganistán:

[AMANULLAH HASSRAT]¹

For Algeria:
Pour l'Algérie :
Por Argelia:

[*Illegible — Illisible*]

For Argentina:
Pour l'Argentine :
Por la Argentina:

[*Illegible — Illisible*]

[*Illegible — Illisible*]

[*Illegible — Illisible*]

For Australia:
Pour l'Australie :
Por Australia:

[DONALD F. McMICHAEL]

[H. J. FRITH]

[G. W. SAUNDERS]

[WILLIAM HARTLEY]

For Austria:
Pour l'Autriche :
Por Austria:

[ARNO HALUSA]

For Bangladesh:
Pour le Bangladesh :
Por Bangladesh:

[A. M. A. MUHITH]

¹ Names of signatories appearing between brackets were not legible and have been supplied by the Government of Switzerland — Les noms des signataires donnés entre crochets étaient illisibles et ont été fournis par le Gouvernement suisse.

For Belgium:
Pour la Belgique :
Por Bélgica:

[LEO STORM]

For Bolivia:
Pour la Bolivie :
Por Bolivia:

[EDMUNDO VALENCIA-IBÁÑEZ]

For Botswana:
Pour le Botswana :
Por Botswana:

[AMOS M. DAMBE]
[SAMUEL A. MPUCHANE]

For Brazil:
Pour le Brésil :
Por el Brasil:

[CELSO DINIZ]

For Burundi:
Pour le Burundi :
Por Burundi:

[FÉLIX MAGENGE]

For Cameroon:
Pour le Cameroun :
Por el Camerún:

[FRANÇOIS-XAVIER TCHOUNGUI]

For Canada:
Pour le Canada :
Por el Canadá:

[M. L. PREBBLE]

For the Central African Republic:
Pour la République centrafricaine :
Por la República Centrafricana:

[*Illegible — Illisible*]
[*Illegible — Illisible*]

For Colombia:
Pour la Colombie :
Por Colombia:

[JAIME LÓPEZ-REYES]
[*Illegible — Illisible*]

For Costa Rica:
Pour le Costa Rica :
Por Costa Rica:

[HERBERT NANNE ECHANDI]

For Cyprus:
Pour Chypre :
Por Chipre:

[ANGELOS ANGELIDES]
[JOSEPH J. STEPHANIDES]

For Czechoslovakia:
Pour la Tchécoslovaquie :
Por Checoslovaquia:

[VOJTECH VALA]

For Denmark:
Pour le Danemark :
Por Dinamarca:

[GUNNAR SEIDENFADEN]

For the Dominican Republic:
Pour la République dominicaine :
Por la República Dominicana:

[LIBBYN GLORIA MILÁN]

For Dahomey:
Pour le Dahomey :
Por el Dahomey:

[SATURNIN SOGLO]

For Egypt:
Pour l'Égypte :
Por Egipto:

For El Salvador:
Pour El Salvador :
Por El Salvador:

[*Illegible — Illisible*]
[JULIO A. RIVERA]
[*Illegible — Illisible*]
[RICARDO MUÑOZ GUTIÉRREZ]

For Finland:
Pour la Finlande :
Por Finlandia:

[EERO SALOVAARA]

For France:
Pour la France :
Por Francia:

[JEAN GABARRA]
[F. SURBIGUET]
[MICHEL GILLARD]

For the German Democratic Republic:
Pour la République démocratique allemande :
Por la República Democrática Alemana:

[HANS KARL OSKAR STUBBE]
[ANDREJ FLORIN]

For the Federal Republic of Germany:
Pour la République fédérale d'Allemagne :
Por la República Federal de Alemania:

[HANS-HERMANN HEITMULLER]
[MANFRED BIRMELIN]

For Ghana:
Pour le Ghana :
Por Ghana:

For Greece:
Pour la Grèce :
Por Grecia:

[STEPHANOS TH. HOURMOUZADIS]
[*Illegible — Illisible*]

For Guatemala:
Pour le Guatemala :
Por Guatemala:

[JULIO ASENSIO-WUNDERLICH]
[JULIO CHOCANO]
[JORGE IBARRA]

For Guyana:
Pour la Guyane :
Por Guyana:

For Honduras:
Pour le Honduras :
Por Honduras:

[ROBERTO JONES FAJARDO]

For India:
Pour l'Inde :
Por la India:

[T. P. SINGH]
[GIRISH DHUME]

For Indonesia:
Pour l'Indonésie :
Por Indonesia:

[A. HABIR]
[*Illegible — Ilisible*]

For Iran:
Pour l'Iran :
Por el Irán:

[HASSAN IZADI]

For Israel:
Pour Israël :
Por Israel:

[ELIEZER EPHRATI]

For Italy:
Pour l'Italie :
Por Italia:

[VINCENZO DE BENEDICTIS]
[FRANCO E. FIORIO]
[LAMBERTO LEPORATI]

For Japan:
Pour le Japon :
Por el Japón:

[TOSHIO YAMAZAKI]
[*Illegible — Illisible*]

For Jordan:
Pour la Jordanie :
Por Jordania:

For Kenya:
Pour le Kenya :
Por Kenia:

[PEREZ OLINDO]
[A. O. ADEDE]

For the Khmer Republic:
Pour la République khmère :
Por la República Khmer:

[*Illegible — Illisible*]

For the Republic of Korea:
Pour la République de Corée :
Por la República de Corea:

[HO EUL WHANG]
[KAE CHUL LEE]

For Lebanon:
Pour le Liban :
Por el Líbano:

[NAJATI KABBANI]
[JOSEPH AKL]

For Luxembourg:
Pour le Luxembourg :
Por Luxemburgo:

For the Malagasy Republic:
Pour la République malgache :
Por la República Malgache:

[HENRI RAHARIJAONA]
[BERNARDIN RAJONHANES]
[*Illegible — Illisible*]

For Malawi:
Pour le Malawi :
Por Malawi:

[CALLISTO M. MKONA]

For Mauritius:
Pour l'île Maurice :
Por Mauricio:

[PIERRE GUY GIRALD BALANCY]

For Mexico:
Pour le Mexique :
Por México:

[ANDRÉS ROZENTAL]
[ENRIQUE RIVA-PALACIO]
[*Illegible — Illisible*]
[*Illegible — Illisible*]
[*Illegible — Illisible*]

For Mongolia:
Pour la Mongolie :
Por Mongolia:

[*Illegible — Illisible*]
[*Illegible — Illisible*]
[NANIRAGIYN DAVÁ]

For Morocco:
Pour le Maroc :
Por Marruecos:

[*Illegible — Illisible*]
[*Illegible — Illisible*]

For the Kingdom of the Netherlands:
Pour le Royaume des Pays-Bas :
Por el Reino de los Países Bajos:

[*Illegible — Illisible*]
[GERHARD C. M. VAN PALLANDT]

For Niger:
Pour le Niger :
Por el Níger:

[ABDOULAYE DIALLO]

For Nigeria:
Pour le Nigéria :
Por Nígeria:

For Pakistan:
Pour le Pakistan :
Por el Paquistán:

[MOHAMMAD WAHEED HASHMI]

For Panama:
Pour le Panama :
Por Panamá:

[MARINA MAYO]

For Paraguay:
Pour le Paraguay :
Por el Paraguay:

[MIGUEL SOLANO LÓPEZ]
[GILBERTO CANIZA SANCHIZ]

For Peru:
Pour le Pérou :
Por el Perú:

[JUAN FRANCISCO FILOMENO CHÁVEZ]

For the Philippines:
Pour les Philippines :
Por Filipinas:

[EDUARDO Z. ROMUALDEZ]
[JESUS ALVAREZ]
[ROMEO A. ARGUELLES]

For Poland:
Pour la Pologne :
Por Polonia:

For Portugal:
Pour le Portugal :
Por Portugal:

[ANTÓNIO CABRITA MATIAS]
[JOSÉ M. D. S. SALDANHA LOPES]
[JOSÉ F. L. ROSÁRIO NUNES]

For Rwanda:
Pour le Rwanda :
Por Rwanda:

[JEAN-MARIE GATABAZI]

For Senegal:
Pour le Sénégal :
Por el Senegal:

[*Illegible — Illisible*]
[ANDRÉ J. COULBARY]

For Sierra Leone:
Pour la Sierra Leone :
Por Sierra Leona:

[CLAUDIUS J. THOMAS]
[*Illegible — Illisible*]

For South Africa:
Pour l'Afrique du Sud :
Por Sudáfrica:

[JOHANN SAMUEL FREDERICK BOTHA]

For Spain:
Pour l'Espagne :
Por España:

[JOSÉ SIERRA]

For the Sudan:
Pour le Soudan :
Por el Sudán:

[*Illegible — Illisible*]
[EL RAYAH OMER HASSABALLA]
[MOMOUN YOUSIF ABDEL GADIR]

For Swaziland:
Pour le Swaziland :
Por Swazilandia:

[S. T. MSINDAZWE SUKATI]
[*Illegible — Illisible*]
[*Illegible — Illisible*]

For Sweden:
Pour la Suède :
Por Suecia:

[JAN MARTENSSON]

For Switzerland:
Pour la Suisse :
Por Suiza:

[FELIX SCHNYDER]
[RUDOLF STETTLER]

For Tanzania:
Pour la Tanzanie :
Por Tanzania:

For Thailand:
Pour la Thaïlande :
Por Tailandia:

[THANOM PREMNASMI]
[PONG LENG-EE]

For Togo:
Pour le Togo :
Por el Togo:

[EPIPHANE AYO MAWUSSU]

For Tunisia:
Pour la Tunisie :
Por Túnez:

[MONCEF RIAHI]

For Turkey:
Pour la Turquie :
Por Turquía:

[METE BASCI]

For the United Kingdom of Great Britain and Northern Ireland:
Pour le Royaume-Uni de Grande-Bretagne et d'Irlande du Nord :
Por el Reino Unido de Gran Bretaña e Irlanda del Norte:

[PAUL RANDELL ODGERS]

For the United States of America:
Pour les Etats-Unis d'Amérique :
Por los Estados Unidos de América:

[RUSSELL E. TRAIN]
[WYMBERLEY DE R. COERR]
[CURTIS BOHLEN]
[LEE TALBOT]

For the Union of Soviet Socialist Republics:
Pour l'Union des Républiques socialistes soviétiques :
Por la Unión de Repúblicas Socialistas Soviéticas:

On condition that the Russian text of this document
is equally authentic with the texts in other languages as it
is stated in this Final Act.¹

[*Illegible — Illisible*]
[*Illegible — Illisible*]

For the Upper Volta:
Pour la Haute-Volta :
Por el Alto Volta:

For Venezuela:
Pour le Venezuela :
Por Venezuela:

[GONZALO MEDINA PADILLA]

For the Republic of Viet-Nam:
Pour la République du Viet-Nam :
Por la República de Viet-Nam:

[TRAN-KIM-PHUONG]
[NGUYEN CONG AN]

For Zambia:
Pour la Zambie :
Por Zambia:

[UNIA G. MWILA]

¹ Etant entendu que le texte russe de ce document est authentique comme les textes dans les autres langues, ainsi qu'il est précisé dans cet Acte final.